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(Statement A)

The Preparation and Properties of Polymer/Nanoparticle Blends Using POSSTM

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The synthesis of nanoparticle/polymer blends has ^{meric}expanded greatly in recent years. When the nanoparticles are ceramic, these blend materials have the advantage of combining a ceramic type material with an organic polymer that can result in a material that may bridge the performance gap between the two systems. Our labs have been working with Polyhedral Oligosilsesquioxanes (POSSTM) to enhance the performance characteristics of polymers. One aspect of this program is the preparation of POSSTM/polymer materials using traditional blending techniques. We have shown that simply changing the organic functionality around the POSSTM molecule can lead to POSSTM dispersion in a wide array of polymers, including polyethylene, polypropylene, polystyrene, polycarbonate, SB rubber, and many other polymers. In several cases we have been able to maintain clarity of the polymer after dispersion. The synthesis of POSSTM/polymer blends and the observed property enhancements of the POSSTM/polymer blends will also be discussed.

Introduction

The synthesis of organic polymer/inorganic ceramic hybrid materials has become a very popular research topic in recent years. The primary goal of this work is to generate new materials that meld together the best properties of ceramics (high temperature stability and durability) and plastics (flexibility, processability) and bridge the performance gap between the two systems¹.

Polyhedral Oligomeric Silsesquioxanes (POSSTM) can be thought of as well-defined silica particles with an outer coating of organic material. This organic material serves two purposes: first, it acts as a passivating layer so the silica particles do not agglomerate³; and second, it serves as a compatibilizer with the polymer matrix. POSSTM was originally synthesized by the controlled hydrolysis of alkyl trichlorosilanes in an organic solvent. Depending on the organic side group and the specific conditions (solvent, concentration, temperature, etc.), incompletely condensed materials or fully condensed materials can be formed.

Previous research has shown^{meric} that such hybrid systems can be prepared by incorporating polyhedral oligosilsesquioxanes (POSSTM) into traditional organic polymers (polymethacrylate, polystyrene, polynorbornene)² by standard polymer preparation procedures. The development of each of these systems was not trivial, with development times of about a year. However, when the POSSTM is physically attached to the polymer chain, property enhancements are invariably observed. Also, dispersion of the POSSTM into the polymer is possible regardless of the R group surrounding the POSSTM molecule. For example, a film of a physical blend of 10% cyclopentylPOSSTM (Cyclopentyl₈T₈, see equation below) in polymethacrylate is opaque, while a film of polymethacrylate with 10% of CyclopentylPOSSTM methacrylate physically attached to the polymer chain is optically clear.

A more convenient method of incorporating POSSTM into an organic polymer is to blend it into the polymer. With this method of POSSTM incorporation, there would be no covalent linkage between the POSSTM molecules and the polymer. The resulting hybrid material would not have the same properties as a POSSTM hybrid with a covalent linkage to the polymer and would more likely act like a filled polymer system. Also, the preparation of POSSTM polymer blends is very straightforward using traditional blending techniques. Since an individual POSSTM molecule is a chemically distinct

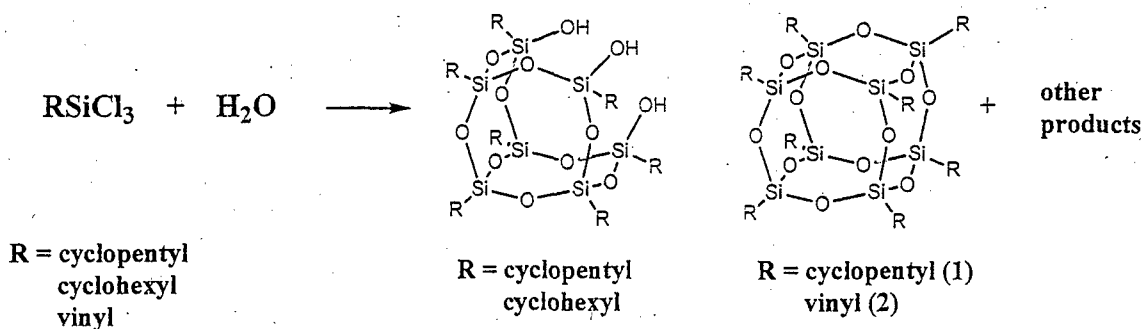
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nanostructuredTM species, there is only one possible size of 15Å which includes the organic side groups. In order to make a blend that maximizes property enhancements of the hybrid material, it is believed that the silsesquioxane should be evenly dispersed in the polymer at the molecular level. Since each POSSTM molecule has a Si₈O₁₂ core covered with alterable organic side groups, it is believed that a finer dispersion into the polymer matrix may be possible by increased interaction of compatible side groups and the polymer.

POSSTM Monomer Development

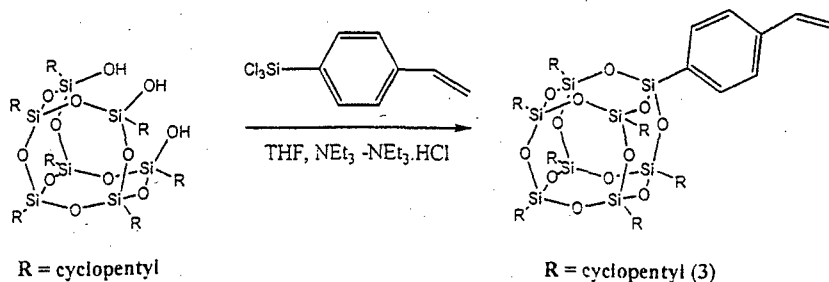
Critical to the success of this work is the availability of POSSTM monomers with many different side groups. Completely condensed POSSTM compounds have been known for quite some time, with methyl₈T₈ and phenyl₈T₈ being two of the oldest. Incompletely condensed materials are relatively new, with the preparation of Cyclohexyl₇T₇(OH)₃ by Brown and Vogt in 1965. The synthesis of this material took extended periods of time, with gestation periods of at least three months to obtain multigram quantities of a mixture which then required a time-consuming purification procedure to isolate the final product. Attempts at accelerating the reaction by heating the solvent led to the formation of the completely condensed cyclohexyl₆T₆. Fortunately, in 1991, Feher et al³ discovered that



Is this what they discovered?
 Or is Cyclopentyl the continuation of the sentence?
 If it's the latter, the "C" should be lower case.

Cyclopentyl₇T₇(OH)₃ can be prepared in multigram quantities in three days by refluxing the cyclopentylSiCl₃/H₂O/acetone reaction solution. Moreover, the isolation of the material was as simple as filtering and drying. In 1994, the Lichtenhan et al further refined the process so that kilogram quantities of Cyclopentyl₇T₇(OH)₃ were synthesized.

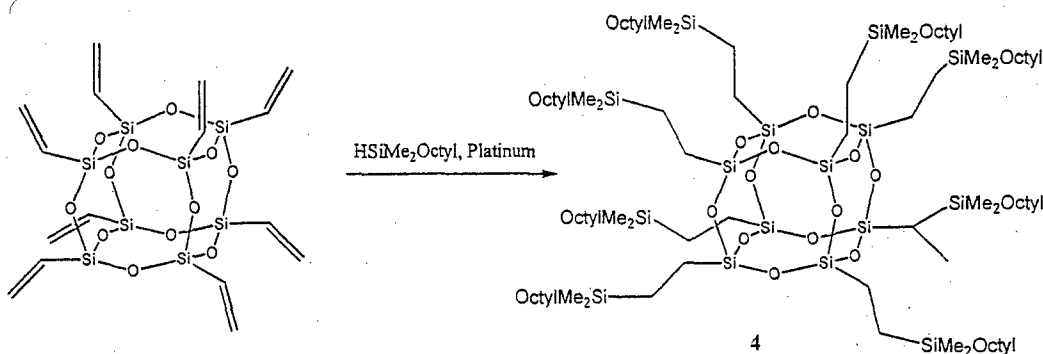
Lichtenhan also pioneered the synthesis of corner capping incompletely condensed silanols with reactive groups with the specific purpose of polymerizing/grafting the POSSTM molecules into polymers. For example, Cyclopentyl₇T₈Styryl (3) is synthesized by the addition of styrylSiCl₃ to triol cyclopentyl₇T₇(OH)₃ in the presence of triethylamine to absorb the HCl generated. This material can then be copolymerized with styrene to give a POSSTM-polystyrene copolymer.



Another POSSTM compound utilized by Lichtenhan and the Air Force is vinylPOSS (2). This material was originally made by the hydrolysis of vinylSiCl₃ in an ethanol/water solution with a dismal yield of 20%. After the POSSTM technology was transferred to the private sector, Hybrid Plastics invented a new process to synthesize a multifunctional vinylPOSSTM material in higher yield and therefore at a lower cost than vinyl₈T₈ (2). The new vinylPOSSTM material (vinyl_nT_n) is a mixture of octameric vinyl₈T₈ (2), decameric vinyl₁₀T₁₀, and dodecameric vinyl₁₂T₁₂. The reaction chemistry between vinyl₈T₈ and the vinylPOSSTM mixture is identical and can practically be used as a drop in replacement for vinyl₈T₈. (add a space)

Hydrosilation of POSSTM

Some of the first polymers ^{into which} we wanted to blend POSSTM ^{into} were high density polyethylene and polypropylene. Since some additives used for HDPE use long chains to compatibilize the additive with the polymer matrix, a similar approach was used for POSSTM. Since no long chain hydrocarbon was commercially available at the time, they had to be synthesized. The most convenient method of preparing these materials while allowing for tailorability is the hydrosilation of alkyl chains onto vinylPOSSTM:

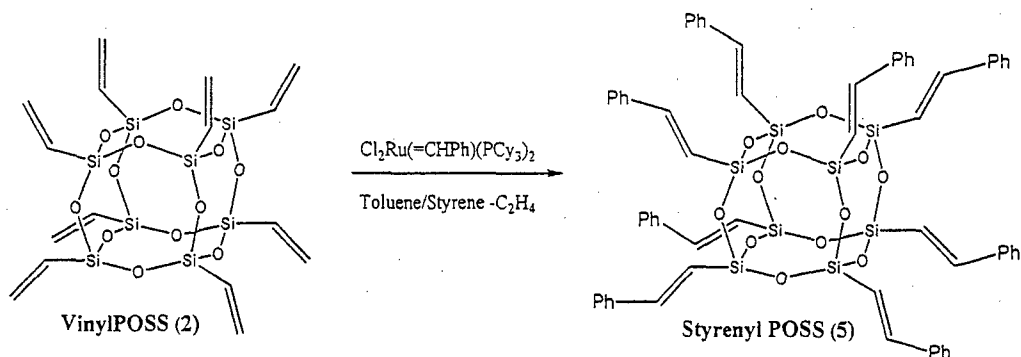


Octa-(OctylSiMe₂)POSSTM (4) is one of the first well-defined POSSTM compounds that is a liquid at room temperature

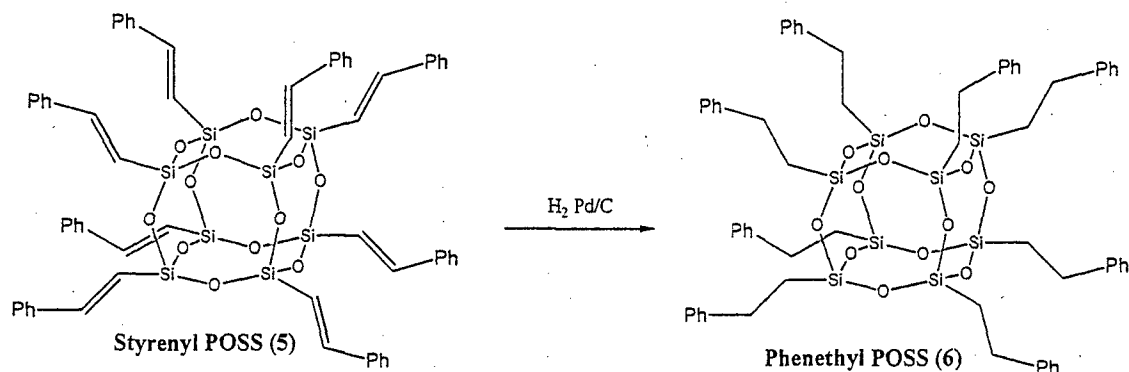
Cross Metathesis of POSSTM

One class of polymers we wanted to investigate for the blends project is the class with aromatic functionalities. Examples of such polymers are polystyrene, BPA polycarbonate, polyester (PET, etc.), and SB rubber. At the time it was reasonable to believe that in order to blend POSSTM into a polymer with a high degree of dispersion, the organic side groups should be compatible with the polymer matrix (like dissolves like). Early attempts at blending with Phenyl₈T₈ led to mixed results. One of the problems with Phenyl₈T₈ is that it is a highly ordered crystalline solid and these crystals have trouble breaking up and dispersing in the polymer matrix. To solve this problem, we had to develop other POSSTM compounds that contained aromatic groups. One convenient method to prepare these materials is by the cross metathesis reaction. Feher⁴ first demonstrated the viability of cross metathesis technology involving POSSTM materials in 1998. This technology was utilized to synthesize Styrenyl POSSTM 5 (Styrenyl₈T₈) on a 200-gram scale. StyrenylPOSSTM is a white, crystalline solid with a melting temperature above 200 °C. Despite the steric crowding around the double bonds, they can still undergo reaction chemistry. For example, the double bonds of 5 are readily

oxidized with MCPBA to give stable epoxides that are dispersible in commercially available epoxies (Epon 828).



While the double bonds of StyrenylPOSS™ are accessible for reaction chemistry, they might present problems at higher temperature with unwanted reactivity. The easiest approach would be to hydrogenate the double bonds to give a completely saturated species and therefore a higher resistance to oxidation. To this end, Phenethyl₈T₈ (6) was synthesized by the hydrogenation of StyrenylPOSS™ using palladium on carbon as the hydrogenation catalyst. It is a colorless solid with a melting temperature of 74 °C.



Synthesis of POSS™-Polyolefin Blends

One of the potential uses for POSS™ in polymers is its use ^{as} a flame retardant, and the initial blending studies focused on polyethylene because of HDPE's commodity status. In the case of POSS™ oil 4, it can be blended into HDPE using traditional blending techniques. The blended material has the same appearance as the unblended polymer. This material was tested for flame retardance, but was not effective because of the low percentage of silica in the POSS™ sample (20 wt. %). Another drawback with this material is ^{that} the hydrocarbon content of the POSS™ is rather high (~80%). POSS™ with higher silica contents ^{are} required and will be reported in due course.

Synthesis of POSS™-Polystyrene Blends

We wanted to undertake a more detailed study to see the dependency of the R group on solubility of POSS™ in a polymer. The polymer that was chosen was polystyrene. With polystyrene, we can add a diverse array of POSS™ molecules with varying R groups. In the study, we chose cyclopentyl₈T₈ (1), vinyl₈T₈ (2), cyclopentyl₇T₈styryl (3), Styrenyl₈T₈ (5) and Phenethyl₈T₈ (6). Since we were casting films of 50% POSS™/50%

PS from thf solutions, the POSSTM needed to be soluble as well. Fortunately, these POSSTM molecules are indeed soluble in thf. The first blend looked at in this study is the mixture of cyclopentyl₈T₈ (1) and polystyrene. The film cast was opaque. The TEM (Figure 1) shows that there are large POSSTM crystallites that contain between 20K and 50K molecules with no apparent POSSTM in the polystyrene phase. Apparently the cyclopentyl groups are not compatible with the polystyrene matrix.

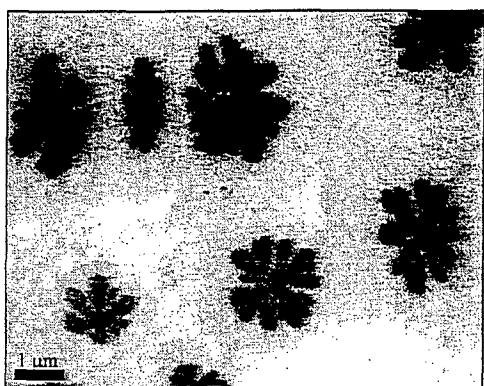


Figure 1. (cy-C₅H₉)₈T₈ (1) in 2 million MW Polystyrene (PS)



Figure 2. (vinyl)₈T₈ in 2 million MW Polystyrene (PS)

The second blend looked into for this study is the mixture of vinyl₈T₈ (2) and polystyrene. The film that was cast was also opaque. The TEM (Figure 2) shows that there is no apparent POSSTM in the polystyrene phase, although the crystallite size is smaller than the above blend with polystyrene and 1.

Upon the addition of one compatibilizing group on the POSSTM molecule, dramatic improvement in compatibility results. When Styryl-POSSTM (3) is cast with polystyrene, a less opaque film is obtained compared to both of the previous films. The TEM of this blend of Styryl-POSSTM (3) and polystyrene shows that crystallite size drops off dramatically after the replacement of only *one* incompatible cyclopentyl group with a more compatible styryl group (Figure 3).

When all eight incompatible groups are replaced by aromatic styrenyl groups and cast with polystyrene, an optically clear film is obtained. The TEM of this blend of Styrenyl₈T₈ (4) and polystyrene, shown in Figure 4, displays isolated polystyrene domains as well as a gray area that represents the POSSTM-PS domain. The black dots in the TEM are believed to be POSSTM crystallites in the POSSTM-PS phase that contain <100 POSSTM molecules. It is believed that the isolated polystyrene domains are a result of the solvent casting process and the high molecular weight of the polymer. This shows that we now have a POSSTM molecule that is miscible with the polystyrene phase. In



Figure 3. TEM of 50% Styryl-POSSTM in 2 million MW Polystyrene

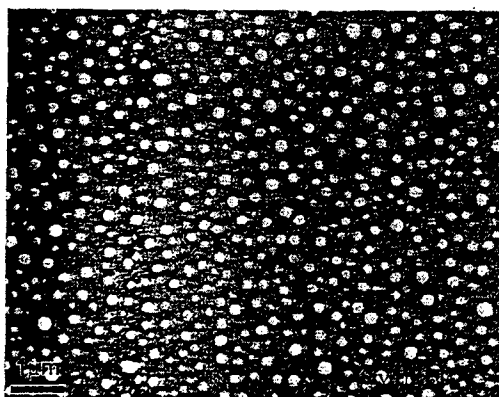


Figure 4. (Styrenyl)₈T₈ in 2 million MW Polystyrene (PS)

addition to the miscibility observed with the styrenyl-POSSTM monomer in the polystyrene matrix, a 30% increase in the surface hardness of film compared to undoped styrene is observed.

In order to overcome the processing issues with styrenyl-POSSTM and to make a more oxidatively resistant additive, the double bonds of **5** were removed by hydrogenation to give phenethyl-POSSTM (**6**). The film cast with **6** and polystyrene is again optically clear. The TEM of this mixture (Figure 5) shows sample homogeneity across the sample with POSSTM rich domains in the POSSTM-PS phase which contain <100 POSSTM molecules. An X-ray powder diffraction spectrum for this polymer shows no observable crystallinity attributable to PhenethylPOSSTM. In addition, there is no observable transition for the melting of PhenethylPOSSTM in the sample, which is consistent with the lack of large crystalline domains of PhenethylPOSSTM.

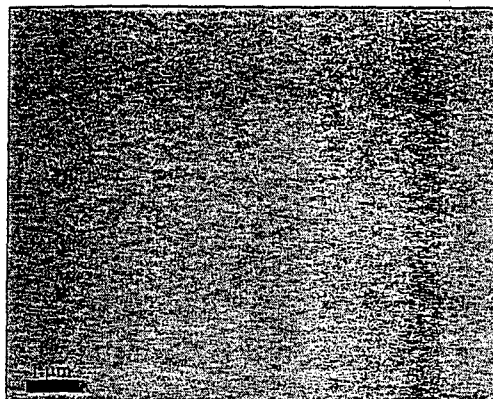


Figure 5. (Phenethyl)₈T₈ (**5**) in 2 million MW Polystyrene (PS)

With the information gleaned from this study, we decided to look into the blends of Phenethyl₈T₈ and other resins with aromatic groups. Instead of dissolving in thf and casting films (which is impractical on a larger scale), we used traditional melt blending techniques with a DACA twin-screw mixer. A representative sample of resin/POSSTM blends are summarized in Table 1.

Table 1. Selected Data of POSSTM/Polymer Blends

Resin	POSS Compound 10% loading	Processing Temp. °C	Appearance
Polystyrene	Phenethyl ₈ T ₈	177	Clear
BPA polycarbonate	Phenethyl ₈ T ₈	300	Clear
SB Rubber	Phenethyl ₈ T ₈	100	Clear

Several other blends with varying loading were also made, and when the resin contained aromatic functionality and is clear before blending with phenethylPOSSTM, it is clear after blending as well. One of the methods we use to check for performance enhancement is Dynamic Mechanical Thermal Analysis (DMTA). To utilize this technique, we prepared two samples; the first is polystyrene with 5 wt. % phenethylPOSSTM blended in, and the second is virgin polystyrene. Both materials have identical thermal histories (both mixed in the DACA for the same amount of time and pressed into films). When the POSSTM was mixed into the polystyrene, the load on the blender did not increase as in the case of usual fillers; in fact, the load decreased. This

phenomena may come in useful for difficult to process materials. Below in Figure 6 are DMTA traces for a DACA blend of 5 wt. % of phenethylPOSSTM in polystyrene and polystyrene with no POSSTM in the sample as a standard. As can be seen by comparing the traces, addition of phenethylPOSSTM into polystyrene at this low loading leads to a material with a slight decrease of T_g compared to the unblended polystyrene. This material may be useful for use as a flame retardant that does not seriously affect the mechanical properties of the base resin. Additional blends of phenethylPOSSTM in polystyrene are planned to see if this phenomenon holds at higher weight percentages of POSSTM.

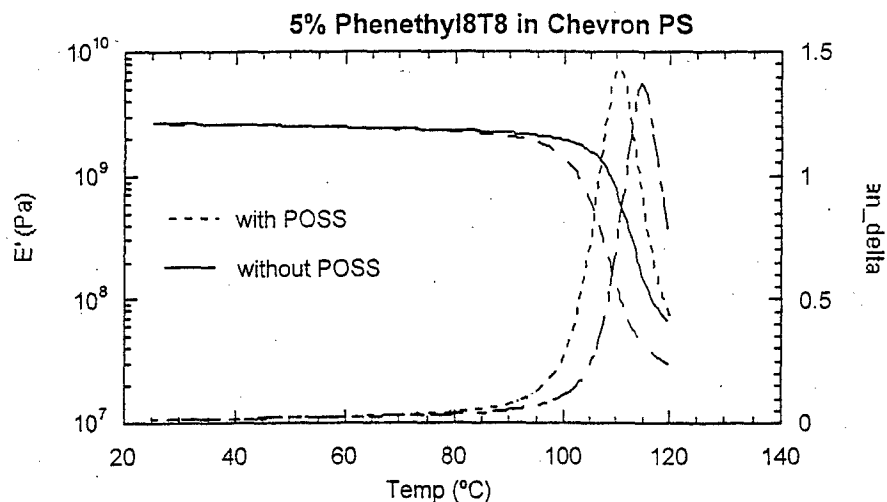


Figure 6. DMTA of Polystyrene and PS with 5 wt.% PhenethylPOSSTM

Conclusions

We have demonstrated the ability to disperse polyhedral oligosilsesquioxanes into polystyrene. We have also shown that by altering the organic side groups of POSSTM compounds to a more compatible group, we can fully disperse the POSSTM molecules into high molecular weight polystyrene and in the case StyrenylPOSSTM/polystyrene film, an increase of 30% in the surface hardness is observed.

Future Work

One of the applications ^{in which} we are interested ^{is} is the use of POSSTM as a flame retardant. While vinyl₈T₈ is not miscible in polystyrene, a partially functionalized vinyl₈T₈ with aromatic groups should be. The concept of partial cross metathesis with vinyl₈T₈ and styrene has been proven and future work will focus on blends of these POSSTM compounds. Another possibility is the use of vinyl_nT_n as a reactive blendable with resins.

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